

02-07-02

A

02/05/02

10C904 U.S. PTO  
10/06/02  
02/05/02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as "the contents" herein are being deposited with the United States Postal Service on February 05, 2002 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL937778835**, addressed to the Commissioner for Patents, Washington, DC 20231.

*[Signature]*

Mark Thomas

Attorney Docket No.: NOVLP029/NVLS-

000495

First Named Inventor: Krishnan  
Shrinivasan

10C904 U.S. PTO  
10/06/02  
02/05/02

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Subject: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Krishnan Shrinivasan, Souvik Banerjee, Francisco Juarez, Karen A. Reinhardt and Sanjay Gopinath**

For: **APPARATUS AND METHODS FOR PROCESSING SEMICONDUCTOR SUBSTRATES USING SUPERCRITICAL FLUIDS**

Assigned to: Novellus Systems, Inc.

Application Elements:

- ☒ 58 Pages of Specification, Claims and Abstract
- ☒ 22 Sheets of formal Drawings
- ☒ 3 Pages Combined Declaration and Power of Attorney - Unexecuted

Accompanying Application Parts:

- ☒ Do not publish this application. Nonpublication Request is attached.
- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Other:

Fee Calculation (37 CFR § 1.16)

☐ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL		MINUS	20	=	x 18	
INDEP.		MINUS	3	=	x 84	
[ ] First presentation of multiple dependent claim					\$280	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$740	
TOTAL						
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

☒ **PLEASE DEFER FILING FEES AT THIS TIME**

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. ).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. NOVLP029).

☒ Please send correspondence to the following address:

**Customer Number 022434**



Date: February 5, 2002

*Brian D. Griedel*

Brian D. Griedel  
Registration No. 48,425

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Shrinivasan et al.

Attorney Docket No.: NOVLP029/NVLS-000495

Application No.: NEW

Examiner: UNASSIGNED

Filed: HEREWITH

Group: UNASSIGNED

Title: APPARATUS AND METHODS FOR  
PROCESSING SEMICONDUCTOR  
SUBSTRATES USING  
SUPERCRITICAL FLUIDS

## CERTIFICATE OF EXPRESS MAILING


I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on February 05, 2002 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL937778835US, addressed to the Commissioner for Patents, Washington, DC 20231.

  
Mark ThomasNONPUBLICATION REQUEST AND CERTIFICATION  
(35 U.S.C. 122(b)(2)(B)(i))Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date: 5-Feb-02

  
Brian D. Griedel  
Registration No. 48,425

## NOTE:

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications, eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign of international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).